

## **STUDY MATERIALS**

### **(RING THEORY-II)**

#### **TOPIC: PRINCIPAL IDEAL DOMAIN**

Mathematics Honours  
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## IDEALS of an I.D.

Theorem:- Let  $D$  be an I.D. and  $a, b \in D$ . Then

- (i)  $b|a$  if and only if  $\langle a \rangle \subset \langle b \rangle$ .
- (ii)  $a$  and  $b$  are associates iff  $\langle a \rangle = \langle b \rangle$ .
- (iii)  $a$  is a unit in  $D$  iff  $\langle a \rangle = D$ .

### Proof:-

- (i) Suppose that  $b|a$ . Then  $a = b \cdot x$ ; for some  $x \in D$ .  
 $\therefore \forall r \in D, a \cdot r = (b \cdot x) \cdot r = b \cdot (x \cdot r)$ , where  $(x \cdot r) \in D$ .  
 $\Rightarrow \langle a \rangle \subset \langle b \rangle$ .  
Conversely, let  $\langle a \rangle \subset \langle b \rangle$ . Then  $a \in \langle a \rangle \subset \langle b \rangle$ .  
 $\therefore a \in \langle b \rangle \Rightarrow a = b \cdot q$ ; for some  $q \in D$ .  
 $\Rightarrow b|a$ .
- (ii) Let  $a$  and  $b$  are associates. Then  
 $a|b$  and  $b|a \Rightarrow \langle b \rangle \subset \langle a \rangle$  and  $\langle a \rangle \subset \langle b \rangle$ .  
 $\Rightarrow \langle a \rangle = \langle b \rangle$ .  
Conversely, let  $\langle a \rangle = \langle b \rangle$ . Then  $\langle a \rangle \subset \langle b \rangle, \langle b \rangle \subset \langle a \rangle$ .  
 $\langle a \rangle \subset \langle b \rangle \Rightarrow b|a$  and  $\langle b \rangle \subset \langle a \rangle \Rightarrow a|b$ . [by (i)].  
 $\therefore a|b$  and  $b|a \Rightarrow a$  and  $b$  are associates
- (iii) Let  $a$  be a unit in  $D$ . Then  $\exists b \in D$  s.t.  $a \cdot b = I$ , where  $I$  is the unity in  $D$ .  
Now  $I = a \cdot b$  where  $a$  is a unit  $\Leftrightarrow a$  is associate of  $I$ .  
 $\Leftrightarrow \langle a \rangle = \langle I \rangle = D$ . [by (ii)].

## PRINCIPAL IDEAL DOMAIN (PID)

Let  $R$  be a commutative ring with unity.

A principal ideal generated by  $a \in R$  is an ideal  $\langle a \rangle = \{r \cdot a : r \in R\}$ .

Definition of PID:- An I.D. in which every ideal is a principal ideal is said to be a PID.

Examples:- ① The I.D.  $\mathbb{Z}$  is a PID.

② A field  $F$  is an I.D., and is a PID.

Here the only ideals are:  
 $\{0\} = \langle 0 \rangle$  and  $F = \langle 1 \rangle$ .

Th. Let  $D$  be a PID and  $\langle p \rangle$  be a non-zero ideal in  $D$ . Then  $\langle p \rangle$  is a maximal ideal iff  $p$  is irreducible.

Proof:- Suppose that  $\langle p \rangle$  is a maximal ideal in  $D$ . Let  $a \in D$  and  $a|p$ . Then  $\langle p \rangle \subset \langle a \rangle$ ; Also  $\langle a \rangle \subset D$ . Since  $\langle p \rangle$  is maximal, either  $D = \langle a \rangle$  or  $\langle p \rangle = \langle a \rangle$ .  $\Rightarrow$  either  $a$  is a unit or  $a, p$  are associates.  $\therefore p$  is irreducible in  $D$ .

Conversely, let  $p$  be irreducible.

If  $\langle a \rangle$  be an ideal in  $D$  s.t.  $\langle p \rangle \subset \langle a \rangle \subset D$ , then  $a|p$ .

Since  $p$  is irreducible, either  $a$  is a unit, or  $a, p$  are associates.

$\therefore$  either  $\langle a \rangle = D$  or  $\langle a \rangle = \langle p \rangle$   
 $\Rightarrow \langle p \rangle$  is a maximal ideal.

Cor.:- In a PID  $D$ , an element  $p$  is a prime iff  $p$  is irreducible.

Proof:- Let  $p$  be a prime in a PID  $D$ .

$\therefore p \neq 0$  and  $p$  is not a unit in  $D$ .

Let  $a \in D$  and  $a|p$ . Then  $p = a \cdot b$  for some  $b \in D$ .

Now  $p = a \cdot b \Rightarrow p|a \cdot b$ , and since  $p$  is prime,  
 $\Rightarrow$  either  $p|a$  or  $p|b$ .

(i) If  $p|a$ , then we have  $a|p$  and  $p|a$   
 $\Rightarrow a$  is an associate of  $p$ .

(ii) If  $p|b$ , then  $b = p \cdot d$  for some  $d \in D$ .

$$\therefore p = a \cdot b = a \cdot (p \cdot d) = p \cdot (a \cdot d)$$

$$\Rightarrow p \cdot (I - a \cdot d) = 0 \Rightarrow I - a \cdot d = 0 \quad [\because p \neq 0 \text{ and } D \text{ contains no divisor of zero}]$$
$$\Rightarrow a \cdot d = I \Rightarrow a \text{ is a unit in } D.$$

$\therefore a|p \Rightarrow$  either  $a, p$  are associates or  $a$  is a unit  
 $\Rightarrow p$  is irreducible in  $D$  whenever  $p$  is prime there.

Conversely, Let  $p$  be irreducible in  $D$  and  $p|ab$  for some  $a, b \in D$ .

$$\therefore \langle ab \rangle \subset \langle p \rangle \Rightarrow ab \in \langle p \rangle.$$

Since  $p$  is irreducible, then if  $\langle a \rangle$  is an ideal in  $D$  s.t.  $\langle p \rangle \subset \langle a \rangle \subset D$ , we have  $a|p \Rightarrow$  either  $a$  is a unit or  $a, p$  are associates.

$$\therefore \text{either } \langle a \rangle = D \text{ or } \langle a \rangle = \langle p \rangle.$$

$\Rightarrow \langle p \rangle$  is a maximal ideal.

Now every maximal ideal in  $D$  is also a prime ideal, since  $D$  is a commutative ring with unity.

$\therefore \langle p \rangle$  must be a prime ideal.

$$\therefore ab \in \langle p \rangle \Rightarrow \text{either } a \in \langle p \rangle \text{ or } b \in \langle p \rangle$$

$$\Rightarrow \text{either } \langle a \rangle \subset \langle p \rangle \text{ or } \langle b \rangle \subset \langle p \rangle$$

$$\Rightarrow p|a \text{ or } p|b$$

$$\Rightarrow p \text{ is a prime in } D.$$

NOTE :- Let  $D$  be a PID, and  $p \neq 0$  and  $p$  is non-unit in  $D$ , then the following are equivalent:

(i)  $p$  is a prime in  $D$ ;

(ii)  $p$  is irreducible in  $D$ ;

(iii)  $\langle p \rangle$  is a maximal ideal in  $D$ ;

(iv)  $\langle p \rangle$  is a prime ideal in  $D$ .

Ex: Let  $R$  be a ring with unity. Show that  $R[x]/\langle x \rangle \cong R$ .

Define:  $f: R[x] \rightarrow R$  by  $f(a_0 + a_1x + \dots + a_nx^n) = a_0$ ,

$$\nexists \sum_{i=0}^n a_i x^i \in R[x]; a_i \in R, \forall i.$$

To show that  $f$  is well-defined and one-one,

$$\text{let us take } \sum_{i=0}^n a_i x^i = \sum_{i=0}^m b_i x^i \Leftrightarrow a_0 = b_0$$

$$\Leftrightarrow f\left(\sum_{i=0}^n a_i x^i\right) = f\left(\sum_{i=0}^m b_i x^i\right); a_i, b_i \in R, \forall i.$$

$f$  is onto, since for  $a_0 \in R$ ,  $\exists \sum_{i=0}^n a_i x^i \in R[x]$  s.t.

$$f\left(\sum_{i=0}^n a_i x^i\right) = a_0.$$

$\therefore f$  is a bijection.

To find  $\text{Ker } f$ : Let us consider  $f\left(\sum_{i=0}^n a_i x^i\right) = 0$ .

$$\Leftrightarrow a_0 = 0 \Leftrightarrow \sum_{i=0}^n a_i x^i = x(a_1 + a_2 x + \dots + a_n x^{n-1}) \in \langle x \rangle.$$

$$\therefore \text{Ker } f = \langle x \rangle.$$

To show  $f$  is a homomorphism, let us take  
 $\sum_{i=0}^n a_i x^i \in R[x]$  and  $\sum_{i=0}^m b_i x^i \in R[x]$ .

$$\therefore f\left(\sum_0^n a_i x^i\right) + f\left(\sum_0^m b_i x^i\right) = a_0 + b_0 = f\left(\sum_0^n a_i x^i + \sum_0^m b_i x^i\right).$$

$$\text{Also, } f\left(\sum_0^n a_i x^i\right) \cdot f\left(\sum_0^m b_i x^i\right) = a_0 \cdot b_0 = f\left[\left(\sum_0^n a_i x^i\right) \cdot \left(\sum_0^m b_i x^i\right)\right].$$

$\therefore f$  is a homomorphism, &  
 $f$  is a bijection

$\Rightarrow f$  is an isomorphism with  $\ker f = \langle x \rangle$ .

Thus, by the first law of isomorphism of ring,

$$R[x]/\langle x \rangle \cong R.$$

Ex. Express the ideal in the I.D.  $\mathbb{Z}$  as a principal ideal:  
(i)  $3\mathbb{Z} + 5\mathbb{Z}$ , (ii)  $8\mathbb{Z} + 12\mathbb{Z}$ , (iii)  $3\mathbb{Z} \cap 5\mathbb{Z}$ .

(i) Since every ideal in the I.D.  $\mathbb{Z}$  is a principal ideal,  
let  $3\mathbb{Z} + 5\mathbb{Z} = p\mathbb{Z}$  for some  $p \in \mathbb{Z}$ .

$$\Rightarrow 3\mathbb{Z} \subset p\mathbb{Z} \text{ and } 5\mathbb{Z} \subset p\mathbb{Z}.$$

$$\Rightarrow p|3; \quad \Rightarrow p|5; \text{ Hence } p \text{ is a common divisor of } 3, 5.$$

Let  $d$  be any other common divisor of  $3, 5$ .

$$\Rightarrow d|3 \text{ and } d|5 \Rightarrow 3\mathbb{Z} \subset d\mathbb{Z} \text{ and } 5\mathbb{Z} \subset d\mathbb{Z}.$$

$$\Rightarrow d|p \Rightarrow p = \gcd(3, 5) = 1.$$

$$\therefore 3\mathbb{Z} + 5\mathbb{Z} = p\mathbb{Z} = \mathbb{Z} [\because p=1].$$

(ii) Proceed in the similar way of (i),

$$p = \gcd(8, 12) = 4$$

$$\therefore 8\mathbb{Z} + 12\mathbb{Z} = p\mathbb{Z} = 4\mathbb{Z}.$$

(iii) Let  $p\mathbb{Z} = 3\mathbb{Z} \cap 5\mathbb{Z}$ , which is a principal ideal in  $\mathbb{Z}$ .

$$\Rightarrow p\mathbb{Z} \subset 3\mathbb{Z} \text{ and } p\mathbb{Z} \subset 5\mathbb{Z}.$$

$$\Rightarrow 3|p \text{ and } 5|p \Rightarrow p \text{ is a common multiple of } 3, 5.$$

Let  $m$  be any other common multiple of  $3, 5$ .

Then  $3|m$  and  $5|m$

$$\Rightarrow m\mathbb{Z} \subset 3\mathbb{Z} \text{ and } m\mathbb{Z} \subset 5\mathbb{Z}$$

$$\Rightarrow m\mathbb{Z} \subset 3\mathbb{Z} \cap 5\mathbb{Z} \Rightarrow m\mathbb{Z} \subset p\mathbb{Z} \Rightarrow p|m$$

$$\Rightarrow p = \text{l.c.m of } 3, 5 = 15$$

$$\therefore 3\mathbb{Z} \cap 5\mathbb{Z} = p\mathbb{Z} = 15\mathbb{Z}.$$

Theorem: Every PID is a UFD.

Proof: Existence of a factorisation:

Let  $D$  be a PID and  $a \in D$  s.t.  $a \neq 0$  and  $a$  is non-unit.

If  $a$  is irreducible, then  $D$  becomes a UFD.

If  $a$  is not irreducible, then there exists a factorisation  $a = a_1 b_1$ , where neither  $a_1$  nor  $b_1$  is a unit.

Hence,  $\langle a \rangle \subset \langle a_1 \rangle$ .

Now we claim that  $\langle a \rangle \neq \langle a_1 \rangle$ ; otherwise,  $a$  and  $a_1$  would be associates and so  $b_1$  would be a unit, a contradiction on our assumption.

Now suppose that  $a_1 = a_2 b_2$ , where

neither  $a_2$  nor  $b_2$  is a unit. By the same argument as before,  $\langle a_1 \rangle \subset \langle a_2 \rangle$ . We can continue with this construction to obtain an ascending chain of ideals; for this we use the following

Lemma: Let  $D$  be a PID. Let  $I_1, I_2, \dots$  be a set of ideals such that  $I_1 \subset I_2 \subset \dots$ . Then there exists an integer  $N$  such that  $I_n = I_N$  for all  $n \geq N$ . [Proof of this lemma is given below].

By the above lemma,  $\exists$  a +ve integer  $N$  s.t.  $\langle a \rangle = \langle a_N \rangle$  for all  $n \geq N$ . Consequently  $a_N$  must be irreducible. Therefore, we conclude that  $a$  is the product of two elements, one of which must be irreducible.

Now suppose that  $a = q_1 p_1$ , where  $p_1$  is irreducible. If  $q_1$  is not a unit, we can repeat the preceding argument to conclude that  $\langle a \rangle \subset \langle q_1 \rangle$ .

Either  $q_1$  is irreducible or  $q_1 = q_2 p_2$ , where  $p_2$  is irreducible and  $q_2$  is not a unit.

Continuing in this manner, we obtain another chain of ideals  $\langle a \rangle \subset \langle q_1 \rangle \subset \langle q_2 \rangle \subset \dots$

This chain must satisfy the ascending chain condition; therefore,  $a = p_1 p_2 \dots p_r$  for irreducible elements  $p_1, p_2, \dots, p_r$ .

## Uniqueness of the factorisation:

Let  $a = p_1 p_2 \cdots p_r = q_1 q_2 \cdots q_s$ , where each  $p_i$  and each  $q_i$  is irreducible.  
Without loss of generality, we can assume that  $r \leq s$ .

Since  $p_1 | q_1 q_2 \cdots q_s$ , it must divide some  $q_i$ .

By rearranging the  $q_i$ 's, we can assume that  $p_1 | q_1$ ; hence  $q_1 = u_1 p_1$  for some unit  $u_1 \in D$ .

$$\therefore a = p_1 p_2 \cdots p_r = u_1 p_1 q_2 \cdots q_s$$

$$a, p_1 \{ (p_2 \cdots p_r) - (u_1 q_2 \cdots q_s) \} = 0$$

since  $p_1 \neq 0$ , and  $D$  is a I.D., hence

$$p_2 \cdots p_r = u_1 q_2 \cdots q_s$$

continuing in this manner, we can arrange

the  $q_i$ 's s.t.  $p_2 = q_2, p_3 = q_3, \dots, p_r = q_s$ , to

$$\text{obtain } u_1 u_2 \cdots u_r q_{r+1} \cdots q_s = 1$$

Here  $q_{r+1} \cdots q_s$  is a unit, which contradicts the fact that  $q_{r+1}, \dots, q_s$  are irreducibles.  
 $\therefore r = s$ , and the factorisation of  $a$  is unique.

Cor. :- Let  $F$  be field, then  $F[x]$  is a UFD.

Proof :- If  $F$  is a field, then  $F[x]$  is an I.D.  
Now every ideal in  $F[x]$  is a principal ideal,  
because, if  $U$  be any ideal of  $F[x]$ , then  
if  $U = \{0\} = \langle 0 \rangle \Rightarrow U$  is a principal ideal, and  
if  $U \neq \{0\}$ , let us suppose that  $p(x) \in U$  be a  
non-zero element of minimal degree.

Now if  $\deg(p(x)) = 0$ , then  $p(x)$  is a non-zero constant  
polynomial and  $\exists I \in U. \langle I \rangle = U = F[x], [I]$   
 $\Rightarrow U$  is again a principal ideal.

Let us now assume that  $\deg(p(x)) \geq 1$ , and  
let  $f(x) \in U$ . Then by division algorithm for  
polynomials in  $F[x]$ , there exist  $q(x), r(x) \in F[x]$   
s.t.  $f(x) = p(x) \cdot q(x) + r(x)$ , where either  $r(x) = 0$ ,  
or  $\deg(r(x)) < \deg(p(x))$ .

Since  $U$  is an ideal and  $p(x), f(x) \in U$ , and  $q(x) \in F[x]$   
so that  $r(x) = f(x) - p(x) \cdot q(x) \in U$ .

Since we choose  $p(x)$  to be of minimal degree,  
 $r(x) = 0$ . [ $\because \deg(r(x)) < \deg(p(x))$ ]

$\therefore f(x) = p(x) \cdot q(x) \Rightarrow U = \langle f(x) \rangle$ ;  $f(x) \in U$  be any element  
 $\therefore U$  is a principal ideal of  $F[x]$ .

Since  $U$  is any arbitrary ideal of  $F[x]$ , it follows  
that every ideal in  $F[x]$  is a principal ideal.

$\therefore F[x]$  is a PID.

Since every PID is a UFD, it follows that—  
 $F[x]$  is a UFD, if  $F$  be a field.

REMARKS: Every PID is a UFD, but NOT  
every UFD is a PID.

Example:  $\mathbb{Z}[x]$  is a UFD, but  
 $\mathbb{Z}[x]$  is NOT a PID.

Because,  $\mathbb{Z}$  is a UFD by the Fundamental theorem  
of Arithmetic  $\Rightarrow \mathbb{Z}[x]$  is also a UFD.

So, The ring  $\mathbb{Z}[x]$  is an UFD, but NOT a PID.

Let us consider the ideal  $S$  of  $\mathbb{Z}[x]$  generated by the elements  $5$  and  $x$  of  $\mathbb{Z}[x]$ .

Then  $S = 5f(x) + xg(x)$ ;  $f(x), g(x) \in \mathbb{Z}[x]$ .

Let  $S$  be a principal ideal of  $\mathbb{Z}[x]$ , say,

$S = \langle h(x) \rangle$  for some  $h(x) \in \mathbb{Z}[x]$ .

$5 \in S \Rightarrow 5 \in \langle h(x) \rangle \Rightarrow 5 = h(x) \cdot h_1(x)$  for some  $h_1(x) \in \mathbb{Z}[x]$ .

$x \in S \Rightarrow x \in \langle h(x) \rangle \Rightarrow x = h(x) \cdot h_2(x)$  " " " $h_2(x)$ "

$\therefore 5h_2(x) = xh_1(x) \Rightarrow$  each coefficient of  $h_1(x)$  is a multiple of  $5$ .

$\Rightarrow h_1(x) = 5p(x)$  for some  $p(x) \in \mathbb{Z}[x]$ .

$\therefore 5 = h(x) \cdot h_1(x) = 5h(x) \cdot p(x)$ .

$\Rightarrow h(x) \cdot p(x) = 1 \Rightarrow 1 \in \langle h(x) \rangle \Rightarrow 1 \in S$ .

$1 \in S \Rightarrow 1 = 5q(x) + xr(x)$  for some  $q(x), r(x) \in \mathbb{Z}[x]$ .

Let  $q(x) = a_0 + a_1x + a_2x^2 + \dots$ ;  $r(x) = b_0 + b_1x + b_2x^2 + \dots$

Then  $1 = 5(a_0 + a_1x + a_2x^2 + \dots) + x(b_0 + b_1x + b_2x^2 + \dots)$

$\Rightarrow 5a_0 = 1$ , which is an impossibility, since  $a_0 \in \mathbb{Z}$ .

$\therefore S$  is NOT a principal ideal of  $\mathbb{Z}[x]$ .

$\therefore \mathbb{Z}[x]$  is NOT a PID.

NOTE:  $\mathbb{Z}$  is a UFD  $\Rightarrow \mathbb{Z}[x]$  is also a UFD.

BUT  $\mathbb{Z}[x]$  is NOT a PID.

So, Every PID is a UFD, But The  
converse is NOT TRUE.

Give an example to show that —  
NOT EVERY I.D. IS A UFD.

The subring  $\mathbb{Z}[\sqrt{3}i] = \{a + b\sqrt{3}i : a, b \in \mathbb{Z}\}$  of the complex number  $\mathbb{C}$  is an I.D.

Let  $z = a + b\sqrt{3}i \in \mathbb{Z}[\sqrt{3}i]$ .

Let us define a norm function  $N : \mathbb{Z}[\sqrt{3}i] \rightarrow \mathbb{N} \cup \{0\}$  by  $N(z) = z \cdot \bar{z} = |z|^2 = a^2 + 3b^2$ .

$\therefore N(z) \geq 0 \quad \forall z \in \mathbb{Z}[\sqrt{3}i]$ , and  $N(z) = 0 \text{ iff } z = 0$ .

$$\begin{aligned} \text{Also } N(z \cdot w) &= N((a+b\sqrt{3}i) \cdot (c+d\sqrt{3}i)), \text{ where } \stackrel{w=c+di}{\in} \mathbb{Z}[\sqrt{3}i] \\ &= N(ac - 3bd) + (bc + ad)\sqrt{3}i \\ &= (ac - 3bd)^2 + 3(bc + ad)^2 \\ &= a^2c^2 + 9b^2d^2 - 6abcd + 3b^2c^2 + 3a^2d^2 + 6abcd \\ &= a^2(c^2 + 3d^2) + 3b^2(c^2 + 3d^2) \\ &= (a^2 + 3b^2) \cdot (c^2 + 3d^2) = N(z) \cdot N(w). \end{aligned}$$

$\therefore N$  satisfies all the conditions of Norm function.

If  $z$  be a unit in  $\mathbb{Z}[\sqrt{3}i]$ , then  $a^2 + 3b^2 = 1 \Rightarrow a = \pm 1, b = 0$ .  
 $\Rightarrow z = \pm 1$  are the only units of  $\mathbb{Z}[\sqrt{3}i]$

We assert that  $4 \in \mathbb{Z}[\sqrt{3}i]$  has <sup>following</sup> two distinct factorizations into irreducibles in order  $\mathbb{Z}[\sqrt{3}i]$  to be a UFD.

$$4 = 2 \cdot 2 = (1 - \sqrt{3}i)(1 + \sqrt{3}i).$$

We must show that each of  $2, 1 - \sqrt{3}i, 1 + \sqrt{3}i$  are irreducible elements in  $\mathbb{Z}[\sqrt{3}i]$ .

Also  $2$  is neither associate of  $1 - \sqrt{3}i$  nor associate of  $1 + \sqrt{3}i$ .

To show  $2$  is irreducible, let  $2 = z \cdot w$

$$\therefore N(2) = N(z \cdot w) \Rightarrow 2^2 = N(z) \cdot N(w) = (a^2 + 3b^2) \cdot (c^2 + 3d^2)$$

$$\Rightarrow \text{either } a^2 + 3b^2 = 1, c^2 + 3d^2 = 4; \text{ or, } a^2 + 3b^2 = 4, c^2 + 3d^2 = 1;$$

or  $a^2 + 3b^2 = 2, c^2 + 3d^2 = 2$  [This case does not happen]

$$\text{Now } a^2 + 3b^2 = 1 \Rightarrow a = \pm 1, b = 0 \Rightarrow z = a + b\sqrt{3}i = \pm 1.$$

$$\text{and } c^2 + 3d^2 = 1 \Rightarrow c = \pm 1, d = 0 \Rightarrow w = c + d\sqrt{3}i = \pm 1.$$

$\therefore$  When  $2 = z \cdot w$ , either  $z$  or  $w$  is a unit

$\Rightarrow 2$  is irreducible.

Similarly, we can show that  $1 - \sqrt{3}i, 1 + \sqrt{3}i$  are also irreducible.  
 Since  $1$  and  $-1$  are only units, and  $2 \neq \pm 1(1 \pm \sqrt{3}i)$   
 $\therefore 2$  is associate of neither  $1 + \sqrt{3}i$  nor  $1 - \sqrt{3}i$ .

THEOREM: If  $D$  is a UFD, then  $D[x]$  is a UFD.

PROOF: Let  $p(x)$  be a non-zero polynomial in  $D[x]$ . If  $p(x)$  is a constant polynomial, then it must have a unique factorization since  $D$  is a UFD. Now let us suppose that  $p(x)$  is a polynomial of positive degree in  $D[x]$ .

Let  $F$  be the field of fractions of  $D$ , and let  $p(x) = f_1(x) f_2(x) \cdots f_n(x)$  by a factorization of  $p(x)$ , where each  $f_i(x)$  is irreducible.

Let us choose  $a_i \in D$  such that  $a_i f_i(x) \in D[x]$ .

There exist  $b_1, b_2, \dots, b_n \in D$  such that

$a_i f_i(x) = b_i g_i(x)$ , where  $g_i(x)$  is a primitive polynomial in  $D[x]$ , and each  $g_i(x)$  is irreducible in  $D[x]$ . Consequently, we can write

$$a_1 \cdots a_n p(x) = b_1 \cdots b_n g_1(x) \cdots g_n(x).$$

Let  $b_1 \cdots b_n = b$ . Since  $g_1(x) \cdots g_n(x)$  is primitive,  $a_1 \cdots a_n$  divides  $b$ .

$a_1 \cdots a_n$  divides  $b$ .

$\therefore p(x) = a g_1(x) \cdots g_n(x)$ , where  $a \in D$ .

Since  $D$  is a UFD, we can factor  $a$  as

$u g_1 \cdots g_n$ , where  $u$  is a unit and each  $c_i$ 's is irreducible in  $D$ .

To show uniqueness of this factorization:

Let  $p(x) = a_1 \cdots a_m f_1(x) \cdots f_n(x) = b_1 \cdots b_p g_1(x) \cdots g_s(x)$ .

be two factorizations of  $p(x)$ , where all of the factors are irreducible in  $D[x]$ .

And each of the  $f_i$ 's and  $g_i$ 's is irreducible in  $F[x]$ .

The  $a_i$ 's and the  $b_i$ 's are units in  $F$ .

Since  $F[x]$  is a PID, it is a UFD; therefore,  $n = s$ .

Now re-arrange the  $g_i(x)$ 's so that  $f_i(x)$  and  $g_i(x)$  are associates for  $i = 1, 2, \dots, n$ .

## EUCLIDEAN DOMAIN.

When a division algorithm is available for an I. D. ??

Definition → An I. D.  $D$  is said to be a Euclidean domain if there exists a function  $v : D - \{0\} \rightarrow \mathbb{Z}^+ \cup \{0\}$  satisfying the following conditions —

1.  $v(a) \leq v(ab)$ ,  $\forall a(\neq 0), b(\neq 0)$  in  $D$ ; and
2.  $\forall a, b \in D$  with  $b \neq 0$ , there exist  $q, r$  in  $D$  s.t.  $a = b \cdot q + r$ , where either  $r=0$  or  $v(r) < v(b)$ .

The function  $v$  is called a Euclidean valuation on  $D$ .

Examples:-

①  $\mathbb{Z}$  is a Euclidean domain (E.D.)

Let us define a function  $v$  on  $\mathbb{Z}$  by

$$v(a) = |a|, \forall a(\neq 0) \in \mathbb{Z}.$$

Let  $a(\neq 0), b(\neq 0)$  in  $\mathbb{Z}$ . Then  $a, b \in \mathbb{Z}$  and  $a \cdot b \neq 0$ , since  $\mathbb{Z}$  is an I. D., so contains no zero divisor.

$$\therefore v(a \cdot b) = |a \cdot b| = |a| \cdot |b| = v(a) \cdot v(b)$$

Since  $v(b) > 0$ , we have  $v(a) \leq v(a \cdot b)$  ——> ①

Let  $a, b \in \mathbb{Z}$  and  $b \neq 0$ . By Division algorithm,  $\exists$  integers  $q$  and  $r$  s.t.  $a = b \cdot q + r$ ; either  $r=0$  or  $0 < r < |b|$ .

$$\text{Now } 0 < r < |b| \Rightarrow |r| < |b| \Rightarrow v(r) < v(b). \text{ ——> ②}$$

Thus  $v$  satisfies both the conditions of a Euclidean valuation on  $\mathbb{Z}$ . Thus  $\mathbb{Z}$  is a E.D.

② A field  $F$  is a E.D.

Let us define a function  $v$  on  $F$  by

$v(a) = I$ ,  $\forall a(\neq 0)$  in  $F$ ,  $I$  being the unity in  $F$ .

Let  $a(\neq 0), b(\neq 0)$  in  $F$ , then  $a \cdot b \neq 0$  in  $F$ , as  $F$  is an I. D., so  $F$  contains no zero divisor.

$$I = I \cdot I = v(a) \cdot v(b) \Rightarrow v(a) \leq v(a \cdot b).$$

Now  $v(a \cdot b) = I = I \cdot I = v(a) \cdot v(b) \Rightarrow v(a) \leq v(a \cdot b)$ .

Let  $a, b \in F$  and  $b \neq 0$ . Then  $b^{-1} \in F$  and

$$a = (bb^{-1}) \cdot a = b(b^{-1}a) + 0 = b \cdot q + r, \text{ where } q = b^{-1}a \in F$$

and  $r=0 \in F$ . Thus  $v$  is a Euclidean valuation on  $F$ .

③ If  $F$  be a field, then  $F[x]$  is a E.D.

Let us define a function  $v$  on  $F[x]$  by

$$v(f(x)) = \deg(f(x)) ; \quad \forall f(x) (\neq 0) \text{ in } F[x].$$

$$\therefore v(f(x)) \geq 0, \quad \forall f(x) \neq 0 \text{ in } F[x].$$

Let  $f(x) (\neq 0)$ ,  $g(x) (\neq 0)$  in  $F[x]$ .

$$\text{Then } v(f(x) \cdot g(x)) = \deg(f(x) \cdot g(x)) = \deg(f(x)) + \deg(g(x)).$$

$$\therefore v(f(x) \cdot g(x)) \geq v(f(x)) ; \quad \text{since } F[\cdot] \text{ is an I.D.}$$

$$\therefore v(f(x)) \leq v(f(x) \cdot g(x)), \quad \forall f(x) \neq 0, g(x) \neq 0 \text{ in } F[x].$$

Let  $f(x), g(x)$  be in  $F[x]$  and  $g(x) \neq 0$ , then  
by division algorithm for polynomials,  $\exists$  unique  
polynomials  $q(x), r(x)$  in  $F[x]$  s.t.

$$f(x) = q(x) \cdot g(x) + r(x); \quad \text{where either } r(x) = 0 \text{ or } \deg(r(x)) < \deg(g(x))$$

i.e.,  $v(r(x)) < v(g(x))$ .

$\therefore v$  satisfies both the conditions of a Euclidean  
valuation on  $F[x]$ .

$\therefore F[x]$  becomes a E.D.

④  $\mathbb{Z}[i]$ , the set of Gaussian integers, is a E.D.

$$\mathbb{Z}[i] = \{a+bi : a, b \in \mathbb{Z}\}.$$

Let us define a function  $v$  on  $\mathbb{Z}[i]$  by

$$v(a+bi) = |a+bi|^2 = a^2+b^2, \quad \forall a+bi (\neq 0) \text{ in } \mathbb{Z}[i].$$

Let  $z, w \in \mathbb{Z}[i]$  s.t.  $z \neq 0, w \neq 0$ , then  $z \cdot w \neq 0$ ,

$$v(z \cdot w) = |z \cdot w|^2 = |z|^2 |w|^2 = v(z) \cdot v(w)$$

$$\Rightarrow v(z) \leq v(z \cdot w), \quad \text{since } v(w) > 0$$

Next to show: for  $z, w \in \mathbb{Z}[i]$  with  $w \neq 0$ ,  
 $\exists q, r \in \mathbb{Z}[i]$  s.t.  $z = q \cdot w + r$ , either  $r = 0$   
or  $v(r) < v(w)$ .

For,  $z \cdot w^{-1} = (a+bi) \cdot (c+di)^{-1}$ , where  $z = a+bi, w = c+di$ ,  
 $a, b, c, d \in \mathbb{Z}$ .

$$= \frac{(a+bi)(c-di)}{(c+di)(c-di)} = \frac{ac+bd}{c^2+d^2} + \frac{bc-ad}{c^2+d^2} i$$

$$= \left( m_1 + \frac{n_1}{c^2+d^2} \right) + \left( m_2 + \frac{n_2}{c^2+d^2} \right) i, \quad \text{say.}$$

$$= (m_1 + m_2 i) + \left( \frac{n_1}{c^2+d^2} + \frac{n_2}{c^2+d^2} i \right)$$

$$= \underbrace{(m_1 + m_2 i)}_{\text{integer part}} + \underbrace{\left( \frac{n_1}{c^2+d^2} + \frac{n_2}{c^2+d^2} i \right)}_{\text{proper fraction}} \in \mathbb{Q}[i] = \{p+qi : p, q \in \mathbb{Q}\}$$

We take the closest integers  $m_1, m_2$  s.t. the fractional parts  $s\left(=\frac{n_1}{c^2+d^2}\right)$  and  $t\left(=\frac{n_2}{c^2+d^2}\right)$  satisfy  $|s| \leq \frac{1}{2}$ ,  $|t| \leq \frac{1}{2}$ .

$$\text{Now } z = z(\omega^{-1}\omega) = \omega(z\omega^{-1}) = \omega(m_1 + m_2 i) + \omega(s+ti)$$

$$\therefore z = q \cdot \omega + r, \text{ where } q = m_1 + m_2 i \in \mathbb{Z}[i], r = \omega(s+ti) \in \mathbb{Z}[i].$$

$$\therefore v(r) = v(\omega) \cdot v(s+ti) \leq v(\omega) \cdot \frac{1}{2} \quad [\because v(s+ti) = \frac{s^2+t^2}{4} \leq \frac{1}{4} + \frac{1}{4} = \frac{1}{2}]$$

$$\therefore v(r) < v(\omega).$$

$\therefore \mathbb{Z}[i]$  is a E.D. with a Euclidean valuation  $v$  defined on  $\mathbb{Z}[i]$ .

Th. Every E.D. is a PID.

Proof: Let  $D$  be a E.D. and  $v$  be a Euclidean valuation on  $D$ .

Let  $U$  be an ideal in  $D$ .

Case 1. If  $U$  is trivial ideal, then  $U = \{0\} = \langle 0 \rangle$ .

$\therefore U$  is a principal ideal and  $D$  becomes a PID.

Case 2. If  $U$  is non-trivial ideal, then let us choose  $b (\neq 0) \in U$  s.t.  $v(b)$  is minimal of all  $v(x)$  for non-zero  $x$  in  $U$ . Such  $b$  exists by the Well-ordering property of  $\mathbb{N}$ .

Let  $a \in U$ , then by the property of the Euclidean valuation  $v$ ,  $\exists$  elements  $q$  and  $r$  in  $D$  s.t.

$a = b \cdot q + r$ , where either  $r = 0$  or  $v(r) < v(b)$ .

Since  $U$  is an ideal,  $a \in U$ ,  $b \in U$  and  $q \in D$

$$\Rightarrow r = a - b \cdot q \in U.$$

Now  $v(r) < v(b)$  is not possible because

$$v(b) \leq v(x), \forall x (\neq 0) \in U.$$

$$\therefore r = 0 \Rightarrow a = b \cdot q \text{ for some } q \in D.$$

Since  $a \in U$ , is an arbitrary element,

$$\therefore U = \langle b \rangle, \text{ a principal ideal.}$$

$\therefore$  Every ideal in a E.D. is a principal ideal

$\Rightarrow$  Every E.D. is a PID.

- NOTE :-
- ① A PID is a UFD, and a E.D. is a PID  
⇒ A E.D. is a UFD.
  - ② A PID may not be a E.D.
  - ③ In a E.D. any two non-zero elements  $a$  and  $b$  have a gcd; if  $d = \gcd(a, b)$ , then  $d = a \cdot u + b \cdot v$  for some  $u, v \in D$ .
  - ④ In a E.D. any two non-zero elements  $a, b$  have a lcm.

### Euclidean Algorithm :-

Let  $a(\neq 0), b(\neq 0)$  be in E.D.  $D$  with  $v(a) \geq v(b)$ . Then  $\exists q_1, r_1 \in D$  s.t.  $a = b \cdot q_1 + r_1$ , where either  $r_1 = 0$ , or  $v(r_1) < v(b)$ .

If  $r_1 = 0$ , then  $\gcd(a, b) = b$ .

If  $r_1 \neq 0$ , "  $\exists q_2, r_2 \in D$  s.t.  $b = q_2 r_1 + r_2$ , where either  $r_2 = 0$  or  $v(r_2) < v(r_1)$ .

If  $r_2 = 0$ , then  $b = q_2 r_1 \Rightarrow r_1 | b \Rightarrow r_1 | a$  [ $\because a = b \cdot q_1 + r_1$ ]  
 $r_1 | a$  and  $r_1 | b$ .

Let  $c | a, c | b \Rightarrow c | (a - b \cdot q_1) \Rightarrow c | r_1$   
 $\Rightarrow r_1 = \gcd(a, b)$ .

If  $r_2 \neq 0$ , we proceed in a similar manner.  
The process continues until remainder becomes zero.  
And the last non-zero remainder is the  $\gcd(a, b)$ .

$$\underline{\underline{\gcd(a, b) = a \cdot u + b \cdot v; u, v \in D}}$$